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Total Number of Pages in This Submission

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Approved for use through 9/30/00. OMB 0651-0031

Patent and Trademark Office: U.S. Department of Commerce control number.

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# **TRANSMITTAL FORM** (To be used for all correspondence after initial filing)

| Application Number     | 09/752,957      |  |
|------------------------|-----------------|--|
| Filing Date            | January 2, 2001 |  |
| First Named Inventor   | David SHIUAN    |  |
| Group Art Unit         | To Be Assigned  |  |
| Examiner Name          | To Be Assigned  |  |
| Attorney Docket Number | 101198-3        |  |

| FNCI OSUBES (about all the desired   |  |  |  |
|--|--|--|--|
| ENCLOSURES (check all that apply)  |  |  |  |
| ☐ Fee Transmittal Form ☐ Fee Attached  | ☐ Assignment Papers (for an Application) | ☐ After Allowance Communication to Group                               |  |
| ☐ Amendment / Response<br>☐ After Final  | ☐ Drawing(s)                             | ☐ Appeal Communication to Board of Appeals and Interferences           |  |
| ☐ Affidavits/declaration(s)  | ☐ Licensing-related Papers               | j  |  |
| ☐ Extension of Time Request  | ☐ Petition                               | ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)    |  |
| ☐ Express Abandonment Request  | ☐ To convert a Provisional Application   | ☐ Proprietary Information  |  |
| ☐ Information Disclosure Statement   | ☐ Power of Attorney, Revocation          | ☐ Status Letter  |  |
| ☐ Certified Copy of Priority Document(s)   | Change of Correspondence<br>Address      | 区 Additional Enclosure(s)<br>-(please identify below):                 |  |
| ☐ Response to Missing Parts/   | ☐ Terminal Disclaimer                    | return receipt postcard; two (2) computer readable copies of the       |  |
| Incomplete Application   | ☐ Small Entity Statement                 | Sequence Listing; paper copy of<br>Sequence Listing; copy of Notice to |  |
| ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53  | ☐ Request for Refund                     | Comply with Requirements   |  |
|  | Remarks:                                 |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT   |  |  |  |
| ,  |  |  |  |
| Firm or  | Theodore A. Gottlieb                     |  |  |
| NORRIS McLAUGHLIN & MARCUS PA  |  |  |  |
| Signature Reg. No. 42,597  |  |  |  |
| Date   | September 20, 2002                       | 7  |  |
| CERTIFICATE OF MAILING   |  |  |  |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to : U.S. Patent and Trademark Office Roy Services 3.8.9.                |  |  |  |
| envelope addressed to: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202.   |  |  |  |
| Typed of printed name Vilma I. Fe/handez   |  |  |  |
| Signature  |  | Date   September 20, 2002  |  |
| orden Hour Statement: This form is estimated to take 0.2 hours to complete, time will vary depending upon the needs of the individual case. Any comments on the amount of MPLETED FORMS TO THIS ADDRESS CENTRAL DEPOSES. |  |  |  |

THIS ADDRESS. SEND TO: Hon. Commissioner of Patents, Washington, DC 20231.



Atty's Docket No.: 101198-3

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner For Patents, Washington, D.C. 20231, on September 20, 2002.

Vilma I. Fernandez

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NO. 09/752,957
APPLICANT David SHIUAN

FILED January 2, 2001

EXAMINER To Be Assigned
ART UNIT To Be Assigned

FOR YEAST WITH HIGH BIOTIN PRODUCTIVITY AND THE

PREPARATION METHOD THEREOF

September 20, 2002

U.S. Patent and Trademark Office Box Sequence P.O. Box 2327 Arlington, VA 22202.

### RESPONSE to NOTICE TO COMPLY WITH REQUIREMENTS

Sir:

This communication is in response to the Notice to Comply With Requirements

For Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence

Disclosures, dated September 13, 2002.

Enclosed herewith are (i) two computer readable copies of the sequence, (ii) a paper copy of the sequence listing, and (iii) a copy of the above-referenced Notice.

#### **CONDITIONAL PETITION FOR EXTENSION OF TIME**

If any extension of time for this response is required, Applicants request that this be considered a petition therefore. Please charge the required fee to Deposit Account No. 14-1263.

#### **ADDITIONAL FEES**

Please charge any further insufficiency of fees, or credit any excess to Deposit Account No. 14-1263.

#### REMARKS

The computer readable diskettes contain amended SEQ ID NO. 1. The diskettes and the accompanying paper copy were formatted and printed using the PTO's Patent In version 3.1software. Applicants believe in good faith that the amended sequence listings comply with office guidelines.

In addition, the undersigned asserts, according to 37 CFR § 1.821(f), that the diskette and the paper copy of the amended sequence listing are identical to each other. Further, neither one, nor both combined, add any new matter to the application as originally submitted.

Respectfully submitted,

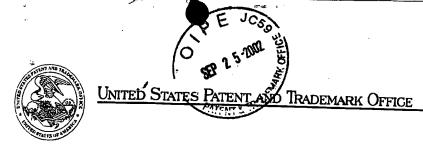
NORRIS, MCLAUGHLIN & MARCUS

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Washington, D.C. 20231 Www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/752,957

01/02/2001

David Shiuan

101198-3

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FORMALITIES LETTER
\*\*\*C000000008784458\*\*

Date Mailed: 09/13/2002

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
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